### Modified Mini Screen (MMS)

<table>
<thead>
<tr>
<th>Client Name:</th>
<th>OASAS ID</th>
<th>Interviewer</th>
<th>Supervisor Initials (Optional)</th>
</tr>
</thead>
</table>

### SECTION A

1. Have you been consistently depressed or down, most of the day, nearly every day for the past 2 weeks?  
   ![Yes/No]  

2. In the past 2 weeks, have you been less interested in most things or less able to enjoy the things you used to enjoy most of the time?  
   ![Yes/No]  

3. Have you felt sad, low or depressed most of the time for the last two years?  
   ![Yes/No]  

4. In the past month, did you think that you would be better off dead or wish you were dead?  
   ![Yes/No]  

5. Have you ever had a period of time when you were feeling up, hyper or so full of energy that you got into trouble or that other people thought you were not your usual self? (Do not consider times when you were intoxicated on drugs or alcohol.)  
   ![Yes/No]  

6. Have you ever been so irritable, grouchy or annoyed for several days, that you had arguments, verbal or physical fights, or shouted at people outside your family? Have you or others noticed that you have been more irritable or overreacted, compared to other people, even when you thought you were right to act this way?  
   ![Yes/No]  

### PLEASE TOTAL THE NUMBER OF “YES” RESPONSES TO QUESTIONS 1-6  

---
# SECTION B

7. Note this question is in 2 parts.

(a) Have you had one or more occasions when you felt intensely anxious, frightened, uncomfortable or uneasy even when most people would not feel that way?

- YES  
- NO

(b) If yes, did these intense feelings get to be their worst within 10 minutes?

- YES  
- NO

Interviewer: If the answer to BOTH a and b is YES, code the question YES. If the answer to either or both a and b is NO, code the question NO.

8. Do you feel anxious or uneasy in places or situations where you might have the panic-like symptoms we just spoke about? Or do you feel anxious or uneasy in situations where help might not be available or escape might be difficult?

Examples include:
- Being in a crowd
- Standing in a line
- Being alone away from home or alone at home
- Crossing a bridge
- Traveling in a bus, train or car

9. Have you worried excessively or been anxious about several things over the past six months?

Interviewer: If NO to question 9, answer NO to question 10 and proceed to question 11.

10. Are these worries present most days?

11. In the past month, were you afraid or embarrassed when others were watching you, or when you were the focus of attention? Were you afraid of being humiliated?

12. In the past month, have you been bothered by thoughts, impulses or images that you couldn’t get rid of that were unwanted, distasteful, inappropriate, intrusive or distressing?

Examples include:
- Were you afraid that you would act on some impulse that would be really shocking?
- Did you worry a lot about being dirty, contaminated or having germs?
- Did you worry a lot about contaminating others, or that you would harm someone even though you didn’t want to?
- Were you obsessed with sexual thoughts, images or impulses?
- Did you hoard or collect a lot of things?
- Did you have religious practice obsessions?
13. In the past month, did you do something repeatedly without being able to resist doing it?

Examples include:
- ☐ Washing or cleaning excessively
- ☐ Counting or checking things over and over
- ☐ Repeating, collecting or arranging things
- ☐ Other superstitious rituals

14. Have you ever experienced or witnessed or had to deal with an extremely traumatic event that included actual or threatened death or serious injury to you or someone else?

Examples include:
- ☐ Serious accidents
- ☐ Sexual or physical assault
- ☐ Terrorist attack
- ☐ Being held hostage
- ☐ Kidnapping
- ☐ Fire
- ☐ Discovering a body
- ☐ Sudden death of someone close to you
- ☐ War
- ☐ Natural disaster

15. Have you re-experienced the awful event in a distressing way in the past month?

Examples include:
- ☐ Dreams
- ☐ Intense recollections
- ☐ Flashbacks
- ☐ Physical reactions

**PLEASE TOTAL THE NUMBER OF “YES” RESPONSES TO QUESTIONS 7-15**
### SECTION C

<table>
<thead>
<tr>
<th>Question</th>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
<tbody>
<tr>
<td>16. Have you ever believed that people were spying on you, or that someone was plotting against you, or trying to hurt you?</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>17. Have you ever believed that someone was reading your mind or could hear your thoughts, or that you could actually read someone’s mind or hear what another person was thinking?</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>18. Have you ever believed that someone or some force outside of yourself put thoughts in your mind that were not your own, or made you act in a way that was not your usual self? Or, have you ever felt that you were possessed?</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>19. Have you ever believed that you were being sent special messages through the TV, radio or newspaper? Did you believe that someone you did not personally know what particularly interested in you?</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>20. Have your relatives or friends ever considered any of your beliefs strange or unusual?</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>21. Have you ever heard things other people couldn’t hear, such as voices?</td>
<td>☐</td>
<td>☐</td>
</tr>
<tr>
<td>22. Have you ever had visions when you were awake or have you ever seen things other people couldn’t see?</td>
<td>☐</td>
<td>☐</td>
</tr>
</tbody>
</table>

**PLEASE TOTAL THE NUMBER OF “YES” RESPONSES TO QUESTIONS 16-22**
Brief Pain Inventory (Short Form)

1. Throughout our lives, most of us have had pain from time to time (such as minor headaches, sprains, and toothaches). Have you had pain other than these everyday kinds of pain today?

☐ Yes  ☐ No

2. On the diagram, shade in the areas where you feel pain. Put an X on the area that hurts the most.

![Diagram of human figure front and back with pain areas shaded]

3. Please rate your pain by marking the box beside the number that best describes your pain at its worst in the last 24 hours.

☐ 0  ☐ 1  ☐ 2  ☐ 3  ☐ 4  ☐ 5  ☐ 6  ☐ 7  ☐ 8  ☐ 9  ☐ 10
No Pain
Pain As Bad As You Can Imagine

4. Please rate your pain by marking the box beside the number that best describes your pain at its least in the last 24 hours.

☐ 0  ☐ 1  ☐ 2  ☐ 3  ☐ 4  ☐ 5  ☐ 6  ☐ 7  ☐ 8  ☐ 9  ☐ 10
No Pain
Pain As Bad As You Can Imagine

5. Please rate your pain by marking the box beside the number that best describes your pain on the average.

☐ 0  ☐ 1  ☐ 2  ☐ 3  ☐ 4  ☐ 5  ☐ 6  ☐ 7  ☐ 8  ☐ 9  ☐ 10
No Pain
Pain As Bad As You Can Imagine

6. Please rate your pain by marking the box beside the number that tells how much pain you have right now.

☐ 0  ☐ 1  ☐ 2  ☐ 3  ☐ 4  ☐ 5  ☐ 6  ☐ 7  ☐ 8  ☐ 9  ☐ 10
No Pain
Pain As Bad As You Can Imagine
7. What treatments or medications are you receiving for your pain?

<p>| | | | | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
</table>

8. In the last 24 hours, how much relief have pain treatments or medications provided? Please mark the box below the percentage that most shows how much relief you have received.

<table>
<thead>
<tr>
<th>0%</th>
<th>10%</th>
<th>20%</th>
<th>30%</th>
<th>40%</th>
<th>50%</th>
<th>60%</th>
<th>70%</th>
<th>80%</th>
<th>90%</th>
<th>100%</th>
</tr>
</thead>
<tbody>
<tr>
<td>No Relief</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Complete Relief</td>
</tr>
</tbody>
</table>

9. Mark the box beside the number that describes how, during the past 24 hours, pain has interfered with your:

### A. General Activity
- 0
- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10

### B. Mood
- 0
- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10

### C. Walking ability
- 0
- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10

### D. Normal Work (includes both work outside the home and housework)
- 0
- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10

### E. Relations with other people
- 0
- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10

### F. Sleep
- 0
- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10

### G. Enjoyment of life
- 0
- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10
Everyone experiences painful situations at some point in their lives. Such experiences may include headaches, tooth pain, joint or muscle pain. People are often exposed to situations that may cause pain such as illness, injury, dental procedures or surgery.

We are interested in the types of thoughts and feeling that you have when you are in pain. Listed below are thirteen statements describing different thoughts and feelings that may be associated with pain. Using the scale, please indicate the degree to which you have these thoughts and feelings when you are experiencing pain.

<table>
<thead>
<tr>
<th>Statement</th>
<th>Not at all</th>
<th>To a slight degree</th>
<th>To a moderate degree</th>
<th>To a great degree</th>
<th>All the time</th>
</tr>
</thead>
<tbody>
<tr>
<td>I worry all the time about whether the pain will end.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>I feel I can’t go on.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>It’s terrible and I think it’s never going to get any better.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>It’s awful and I feel that it overwhelms me.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>I feel I can’t stand it anymore.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>I become afraid that the pain will get worse.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>I keep thinking of other painful events.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>I anxiously want the pain to go away.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>I can’t seem to keep it out of my mind.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>I keep thinking about how much it hurts.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>I keep thinking about how badly I want the pain to stop.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>There’s nothing I can do to reduce the intensity of the pain.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
<tr>
<td>I wonder whether something serious may happen.</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
</tr>
</tbody>
</table>
Client Name: ____________  DOB: ____________

When thinking about drug use, include illegal drug use and the use of prescription drug use other than prescribed.

1. Have you ever felt that you ought to cut down on your drinking or drug use?
   - YES  NO
2. Have people ever annoyed you by criticizing your drinking or drug use?
   - YES  NO
3. Have you ever felt bad or guilty about your drinking or drug use?
   - YES  NO
4. Have you ever had a drink or used drugs first thing in the morning to steady your nerves or get rid of a hangover?
   - YES  NO

In the past 12 months:

1. Use of additional drugs/alcohol other than those required for medical reasons:  YES  NO
2. Abusing more than one drug at a time:  YES  NO
3. Being unable to stop using drugs/alcohol when you want to:  YES  NO
4. Having blackouts or flashbacks as a result of drug/alcohol use:  YES  NO
5. Feeling bad or guilty about your drug/alcohol use:  YES  NO
6. Your spouse or parents complaining about your involvement with drugs/alcohol:
   - YES  NO
7. Neglecting your family because of your use of drugs/alcohol:  YES  NO
8. Engaging in illegal activities in order to obtain drugs/alcohol:  YES  NO
9. Experiencing withdrawal symptoms (agitation, sick) when you stop taking drugs/alcohol:
   - YES  NO
10. Having medical problems as a result of your drug/alcohol use (memory loss, hepatitis, convulsions, bleeding, etc.):  YES  NO
11. Have a past history of addiction:  YES  NO

Please indicate substance of choice: ___________________________
SOAPP® Version 1.0-14Q

Name: ___________________________ DOB: _______ Date: ________

Please answer each question as honestly as possible. This information is for our records and will remain confidential. Thank you.

Please answer the questions below using the following scale:

0 = Never, 1 = Seldom, 2 = Sometimes, 3 = Often, 4 = Very Often

1. How often do you have mood swings? 0 1 2 3 4
2. How often do you smoke a cigarette within an hour after you wake up? 0 1 2 3 4
3. How often have any of your family members, including parents and grandparents, had a problem with alcohol or drugs? 0 1 2 3 4
4. How often have any of your close friends had a problem with alcohol or drugs? 0 1 2 3 4
5. How often have others suggested that you have a drug or alcohol problem? 0 1 2 3 4
6. How often have you attended an AA or NA meeting? 0 1 2 3 4
7. How often have you taken medication other than the way that it was prescribed? 0 1 2 3 4
8. How often have you been treated for an alcohol or drug problem? 0 1 2 3 4
9. How often have your medications been lost or stolen? 0 1 2 3 4
10. How often have others expressed concern over your use of medication? 0 1 2 3 4
11. How often have you felt a craving for medication? 0 1 2 3 4
12. How often have you been asked to give a urine screen for substance abuse? 0 1 2 3 4
13. How often have you used illegal drugs (for example, marijuana, cocaine, etc.) in the past five years? 0 1 2 3 4
14. How often, in your lifetime, have you had legal problems or been arrested? 0 1 2 3 4

Please include any additional information you wish about the above.

Thank you.
Margaret Heaton, M.A.
Licensed Marriage & Family Therapist
Nevada License #01381

Therapeutic Contract

The Therapy Process

Welcome to behavioral health services with Nevada Advanced Pain Specialists. Based on your provider’s examination you are being asked to participate in a behavioral health assessment. This initial assessment is conducted with the purpose gain a better understanding of how you are functioning, your quality of life living with chronic pain and other on-medical conditions, along with safety and compliance in pain treatment. The information disclosed in the initial assessment will be shared, reviewed, and in some cases consulted with the NAPS treatment team to ensure safe and effective pain management. If you chose to continue on to have an individual counseling relationship outside the initial assessment, our sessions will remain confidential, as long as you are continuing to abide by NAPS policies and procedures. Given that I am a part of the Nevada Advanced Pain Specialist team, I am adhere to their policies for pain treatment.

Participating in therapy can result in a number of benefits to you including a better understanding or your personal goals and values, improved interpersonal relationship, and resolution of the specific concern that led you to seek therapy. Working towards these benefits however, requires effort on your part and may result in your experiencing some discomfort. Change, even when it is desired, is usually difficult and often slower than expected. Remembering and resolving significant life events in therapy can bring on strong feelings of anger, depression, or fear. Attempting to resolve issues between marital partners, family members, and other individuals can also lead to discomfort and may result in changes that were not originally intended. It is always your decision what actions to take or not take; it is not my role to tell you what to do. I may suggest different methods in order to facilitate change, including mindfulness exercises, role-playing, cognitive behavioral assignments (exploring and disputing thinking/belief patterns, analyzing behavioral patterns) journaling, bibliotherapy, and insight-orientated talk therapy. You may of course ask about any methods I propose, and you may refuse any particular method that does not sit well with you. Lastly, making a commitment to yourself to attend scheduled sessions creates the motivation to the take steps necessary in accomplishing your goals.
Guiding Principles

- You have the right to a confidential relationship with me. Within certain legal and ethical limits, information you reveal during the course of therapy will be kept confidential and will not be revealed to any person without your written permission.
  - **Limits of Confidentiality** – I have a legal and ethical duty to report:
    - **Duty to Warn & Protect** - When a client discloses intentions or a plan to harm another person, the mental health professional is required to warn the intended victim and report this information to legal authorities. In cases in which the client discloses or implies a plan for suicide, the health care professional is required to notify legal authorities and make reasonable attempts to notify the family of the client.
    - **Abuse of Children & Vulnerable Adults** - If a client states or suggests that he or she is abusing a child or vulnerable adult or has recently abused a child or vulnerable adult, or a child or vulnerable adult is in danger of abuse, the mental health professional is required to report this information to the appropriate social services and/or legal authorities. Elder or dependent adult abuse or neglect
    - **Minors/Guardianship** - Parents or legal guardians of non-emancipated minor clients have the right to access the clients’ records.
    - **Court Subpoenas** - If in the case the court: (1) thinks the therapist has important evidence about your ability to provide suitable care or custody in a child custody or child adoption case; or (2) orders a “bishop” order giving access to your records to defense counsel in a sexual assault or other criminal case.
    - **Insurance Providers** - Insurance companies and other third-party payers may request information regarding service to clients. Information that may be requested includes type of services, dates/times or service, diagnosis, treatment plan, and description of impairment, progress of therapy, case notes, and summaries.

- To provide the best possible treatment, I regularly consult with other professionals about my cases, who are also bound by legalities to uphold limits of confidentiality.

- If I am working with you as a couple, I will not hold secrets. Instead, I will work with you to help you disclose information in order to facilitate an open and honest relationship.

- Since effective therapy relationships depend on having clear boundaries that allow the focus to be on the client(s) and inner work, I generally will not engage in activities associated with other types of relationships, such as social networking, attending social events, or sharing personal information.

- Should you choose not to enter or continue therapy with me, I will provide you with names of other qualified professionals whose services you might prefer. You are free to end therapy at any time; however, I hope you will discuss this with me prior to stopping. Making the commitment to yourself to stay engaged enriches the process.

- If you have a complaint about the services I provide or think I have acted in an unprofessional manner, you may contact the Nevada Board of Marriage & Family Therapy Examiners.
If I believe that therapy is no longer beneficial to you, that you will be better served by another professional, or that I cannot meet your mental and emotional needs, I may choose to discontinue therapy with you and provide you with referrals to other therapists.

Phone calls to schedule, change, or cancel appointments or to ask for support during a difficult period are included in this contract. Due to the limits of the time I have available to make and return phone calls, any call exceeding 10 minutes is subject to a pro-rated charge of the $50 fee.

I have read, reviewed, and understood the above description of the risks and benefits of therapy, the limits of confidentiality, and the guidelines for therapy.

Client Signature: ___________________________ Date: ______________
Printed Name: ______________________________ DOB: ____________

Client Signature: ___________________________ Date: ______________
Printed Name: ______________________________ DOB: ____________

Therapist Signature: ___________________________ Date: ______________
Margaret Heaton-Ashby, LMFT
NEVADA ADVANCED PAIN SPECIALISTS

CONSENT TO TREATMENT

The undersigned, being of 18 years of age, does hereby consent to any mental health and/or addiction examination, diagnosis or treatment, which is deemed advisable by, and is to be rendered under the general or special supervision of, any physician any physician or other provider licensed in the State of Nevada and working for Nevada Advanced Pain Specialists, and their agents and employees, whether such diagnosis or treatment is rendered at the office of said physician or at a hospital.

This authorization shall remain effective until ______________, 20__, unless sooner revoked in writing.

__________________________
Dated

__________________________
Patient

__________________________
Print Name:
Nevada Advanced Pain Specialists
Behavioral Health Cancellation and Rescheduling Policy

To gain the most benefit from our office and to ensure that other clients receive the highest level of care, it is essential to keep all your scheduled appointments.

If you are more than 15 minutes late for an appointment, you may not be seen that day. We try to keep to our schedule and you being late will affect the next client.

We understand the need at times to cancel your appointment. If you must cancel your appointment, please give us at least 24 hours’ notice. There are other patients requiring our care and your appointment can be given to someone else with enough notice.

If you fail to attend your appointment without calling or giving less than 24 hours’ of notice cancellation, you will be charged $25. This is NOT covered by your insurance and this amount will have to be paid before scheduling another appointment.

If you miss (no show) more than 2 appointments or reschedule more than 2 initial evaluation appointments, you may not be seen again by behavioral health and other treatment referrals might be considered to re-evaluate your motivations for healing.

Thank you for helping us provide the best care possible.

Acknowledgement of Cancellation Policy

I have read and understand the Nevada Advanced Pain Specialists Behavioral Health Cancellation Policy.

______________________________  _________________
Signature                                               Date
NEVADA ADVANCED PAIN SPECIALISTS

PRIVACY PRACTICES NOTICE

The Effective Date of This Notice is ________

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION.

PLEASE REVIEW IT CAREFULLY.

**************************

PRIVACY PRACTICES NOTICE

This page describes the type of information Nevada Advanced Pain Specialists ("NAPS") will gather about you, with whom that information may be shared and the safeguards we have in place to protect it. You have the right to the confidentiality of your medical information and the right to approve or refuse the release of specific information, except when the release is required by law, or permitted by law without your authorization.

If the practices described in this notice meet your expectations, there is nothing you need to do. If you prefer additional limitations on the use of your medical information, you may request them following the procedure below.

If you have any questions about this notice, please contact our Privacy Officer at the address below.

The regulations also require that we make a good faith effort to obtain your written acknowledgement that you have received this Notice. This is why you will be asked to sign this form at the end.

Who Will Follow This Notice

This notice describes practices of all of the persons and entities in NAPS regarding the use of your medical information and that of:

Any health care professional employed by or contracted with NAPS who is authorized to enter information into your hospital chart or medical record.

All departments and units of NAPS you may visit.

All NAPS employees, staff and other personnel who may need access to your information.

All entities, sites and locations of NAPS that follow the terms of this notice. In addition, these entities, sites and locations may share medical information with each other for treatment, payment or health care operations purposes as described in this notice.

Our Pledge Regarding Medical Information

We understand that medical information about you and your health is personal. Protecting medical information about you is important. We create a record of the care and services you receive. We need this record to provide you with quality care and to comply with certain legal requirements. This notice applies to all of the records of your care generated by NAPS, whether made by health care professionals or other personnel.
This notice will tell you about the ways in which we may use and disclose medical information about you. We also describe your rights and certain obligations we have regarding the use and disclosure of medical information.

We are required by law to:
- keep confidential any medical information that concerns your condition or treatment, how your care is paid for and demographic information, if such information can be used to identify you;
- give you this notice of our policies, procedures and information privacy practices with respect to medical information about you; and
- follow the terms of the notice that is currently in effect.

Nevada Law

In addition to federal law, Nevada law places more stringent limitations on the disclosure and use of mental health information, genetic information, communicable disease information and blood and urine tests. Other federal regulations place more stringent requirements on drug and alcohol abuse information. We shall comply with those more stringent restrictions.

How We May Use and Disclose Medical Information about You

The following categories describe different ways that we may use and disclose medical information. For each category of uses or disclosures we will try to give some examples. Not every use or disclosure in a category will be listed.

For Treatment. We may use medical information about you to provide you with medical treatment or services. We may disclose medical information about you to doctors, nurses, technicians, training doctors, or other health care professionals who are involved in taking care of you. For example, a doctor treating you for a broken leg may need to know if you have diabetes because diabetes may slow the healing process. In addition, the doctor may need to tell the dietitian if you have diabetes so that we can arrange for appropriate meals. Different health care professionals also may share medical information about you in order to coordinate the different things you need, such as prescriptions, lab work and x-rays. We also may disclose medical information about you to people outside NAPS who may be involved in your medical care or that provide services that are part of your care.

For Payment. We may use and disclose medical information about you so that the treatment and services you receive may be billed to and payment may be collected from you, an insurance company or a third party. For example, your insurance may need to know about treatment you received so they will pay us or reimburse you for the treatment. We may also use and disclose medical information about you to obtain prior approval or to determine whether your insurance will cover the treatment, or to undertake other tasks related to seeking payment for services provided. We may also disclose medical information to another health care provider who is or has been involved in your treatment, so that that provider may seek payment for services rendered.

For Health Care Operations Purposes. We may use and disclose medical information about you for health care operations purposes. This is necessary to make sure that all of our patients receive quality care. For example, we may use medical information to review our treatment and services and to evaluate the performance of our staff in caring for you, or to otherwise manage and operate NAPS effectively. We may also disclose information to doctors, nurses, technicians, training doctors, medical students, and other NAPS personnel for review and learning purposes. We may remove information that identifies you from this set of medical information so others may use it to study health care and health care delivery without learning who the specific patients are.
Appointment Reminders. We may use and disclose medical information to contact you as a reminder that you have an appointment for treatment or medical care.

Treatment Alternatives. We may use and disclose medical information to tell you about or recommend possible treatment options or alternatives that may be of interest to you.

Health-Related Benefits and Services. We may use and disclose medical information to tell you about health-related benefits or services that may be of interest to you.

Individuals Involved in Your Care or Payment for Your Care. We may release medical information about you to a friend or family member who is involved in your medical care. We may also give information to someone who helps pay for your care. In addition, we may disclose medical information about you to an entity assisting in a disaster relief effort so that your family can be notified about your condition, status and location.

Research. Under certain circumstances, we may use and disclose medical information about you for research purposes. For example, a research project may involve comparing the health and recovery of all patients who received one medication to those who received another, for the same condition. All research projects, however, are subject to a special approval process. This process evaluates a proposed research project and its use of medical information, trying to balance the research needs with patients' need for privacy of their medical information. Before we use or disclose medical information for research, the project will have been approved through this research approval process, but we may, however, disclose medical information about you to people preparing to conduct a research project, for example, to help them look for patients with specific medical needs, so long as the medical information they review does not leave NAPS. Otherwise, we will almost always ask for your specific permission if the researcher will have access to your name, address or other information that reveals who you are, or will be involved in your care.

As Required By Law. We will disclose medical information about you when required to do so by federal, state or local law.

To Avert a Serious Threat to Health or Safety. We may use and disclose medical information about you when necessary to prevent a serious threat to your health and safety or the health and safety of the public or another person. Any disclosure, however, would only be to the person(s) threatened and/or someone able to help prevent the threat.

Special Situations

Organ and Tissue Donation. If you are an organ donor, we may release medical information to organizations that handle organ procurement or organ, eye or tissue transplantation or to an organ donation bank, as necessary to facilitate organ or tissue donation and transplantation.

Military and Veterans. If you are a member of the armed forces, we may release medical information about you as required by military command authorities.

Workers' Compensation. We may release medical information about you for workers' compensation or similar programs. These programs provide benefits for work-related injuries or illness.

Public Health Risks. We may disclose medical information about you for public health activities. These activities generally include the following: to prevent or control disease, injury or disability; to report births and deaths; to report child abuse or neglect; to report reactions to medications or problems with products; to notify people of
recalls of products they may be using; to notify a person who may have been exposed to a disease or may be at risk for contracting or spreading a disease or condition; to notify the appropriate government authority if we believe a patient has been the victim of abuse, neglect or domestic violence.

**Health Oversight Activities.** We may disclose medical information to a health oversight agency for activities authorized by law. These oversight activities include, for example, audits, investigations, inspections, and licensure. These activities are necessary for the government to monitor the overall health care system, the conduct of government programs, and compliance with civil rights laws.

**Lawsuits and Disputes.** We may disclose medical information about you in response to a subpoena, discovery request, or other lawful order from a court.

**Law Enforcement.** We may release medical information if asked to do so by a law enforcement official as part of law enforcement activities; in investigations of criminal conduct or of victims of crime; in response to court orders; in emergency circumstances; or when required to do so by law.

**Coroners, Medical Examiners and Funeral Directors.** We may release medical information to a coroner or medical examiner. This may be necessary, for example, to identify a deceased person or determine the cause of death. We may also release medical information about patients to funeral directors as necessary to carry out their duties.

**Protective Services for the President, National Security and Intelligence Activities.** We may release medical information about you to authorized federal officials so they may provide protection to the President, other authorized persons or foreign heads of state or conduct special investigations, or for intelligence, counterintelligence, and other national security activities authorized by law.

**Inmates.** If you are an inmate of a correctional institution or under the custody of a law enforcement official, we may release medical information about you to the correctional institution or law enforcement official where the release would be necessary: (1) for the institution to provide you with health care; (2) to protect your health and safety or the health and safety of others; or (3) for the safety and security of the correctional institution.

**Your Rights Regarding Medical Information About You.**

You have the following rights regarding medical information we maintain about you:

**Right to Inspect and Copy.** You have the right to inspect and copy medical information that may be used to make decisions about your care. Usually, this includes medical and billing records, but does not include psychotherapy notes.

To inspect and copy medical information that may be used to make decisions about you, you must submit your request in writing to our Privacy Officer at the address below. If you request a copy of the information, we may charge a fee for the costs of copying, mailing or other supplies associated with your request.

We may deny your request to inspect and copy in certain very limited circumstances. In some circumstances, if you are denied access to medical information, you may request that the denial be reviewed. Another licensed health care professional chosen by the NAPS will review your request and the denial. The person conducting the review will not be the person who denied your request. We will comply with the outcome of the review.
Right to Amend. If you feel that medical information we have about you is incorrect or incomplete, you may ask us to amend the information. You have the right to request an amendment for as long as the information is kept.

To request an amendment, your request must be made in writing and submitted to our Privacy Officer. In addition, you must provide a reason that supports your request.

We may deny your request for an amendment if it is not in writing or does not include a reason to support the request. In addition, we may deny your request if you ask us to amend information that: (i) Was not created by us, unless the person or entity that created the information is no longer available to make the amendment; (ii) Is not part of the “designated record set” kept by the NAPS; (iii) Is not part of the information which you would be permitted to inspect and copy; or (iv) Is accurate and complete.

Right to an Accounting of Disclosures. You have the right to request an “accounting of disclosures.” This is a list of the disclosures we made of medical information about you. This accounting will not include many routine disclosures; including those made to you or pursuant to your authorization, those made for treatment, payment and operations purposes as discussed above, those made to the facility directory as discussed above, those made for national security and intelligence purposes and those made to correctional institutions and law enforcement in compliance with law.

To request this list or accounting of disclosures, you must submit your request in writing to our Privacy Officer. Your request must state a time period that may not be longer than six years and may not include dates before April 14, 2003. Your request should indicate in what form you want the list (for example, on paper, electronically). The first list you request within a 12-month period will be free. For additional lists, we may charge you for the costs of providing the list. We will notify you of the cost involved and you may choose to withdraw or modify your request at that time before any costs are incurred.

Right to Request Restrictions. You have the right to request additional restrictions or limitations on the medical information we use or disclose about you for treatment, payment or health care operations. You also have the right to request a limit on the medical information we disclose about you to someone who is involved in your care or the payment for your care, like a family member or friend.

However, we are not required to agree to your request. If we do agree, we will comply with your request unless the information is needed to provide you emergency treatment.

To request restrictions, you must make your request in writing to our Privacy Officer at the address below. In your request, you must tell us (1) what information you want to limit; (2) whether you want to limit our use, disclosure or both; and (3) to whom you want the limits to apply.

Right to Opt Out of Electronic Transmission. Under Nevada law, you have the right to “opt-out” of electronic transmissions of your medical information. However, NAPS may not be able to provide care for you if you choose to exercise this right.
Right to Request Confidential Communications. You have the right to request that we communicate with you about medical matters in a certain way or at a certain location. For example, you can ask that we only contact you at work or by mail.

To request confidential communications, you must make your request in writing to our Privacy Officer. We will not ask you the reason for your request. We will accommodate all reasonable requests. Your request must specify how or where you wish to be contacted. If complying with your request entails additional expense over our usual means of communication, we may ask that you reimburse us for those expenses.

Right to a Paper Copy of This Notice. You have the right to a paper copy of this notice at any time. Even if you have agreed to receive this notice electronically, you are still entitled to a paper copy of this notice.

To obtain a paper copy of this notice, please request one in writing from our Privacy Officer at the address below.

Changes To This Notice

We reserve the right to change our policies and practices concerning the privacy of your medical information and this notice. We reserve the right to make the revised or changed notice effective for medical information we already have about you as well as any information we receive in the future. We will always post a copy of the current notice in the patient waiting rooms. The notice will contain the effective date on the first page.

Complaints

If you believe your privacy rights have been violated, you may file a complaint with NAPS or with the Secretary of the Department of Health and Human Services. To file a complaint with NAPS, contact our Privacy Officer at the address and phone number below. All complaints must be submitted in writing. You will not be penalized for filing a complaint.

Other Uses of Medical Information

Other uses and disclosures of medical information not covered by this notice or the laws that apply to us will be made only with your written permission. If you provide us permission to use or disclose medical information about you, you may revoke that permission, in writing, at any time. If you revoke your permission, thereafter we will no longer use or disclose medical information about you for the reasons covered by your written authorization. You understand that we are unable to take back any disclosures we have already made with your permission, and that we are required to retain our records of the care that we provided to you.

Privacy Officer

The Provider’s Privacy Officer is: (Name, Mailing Address, Telephone, Fax, e-mail, other means of correspondence)
Acknowledgement

I hereby acknowledge that I have received a copy of the Privacy Practices Notice.

Signature: ____________________________ Date: ________________

Print Name: __________________________

Acknowledgement Refused

On this date, the undersigned patient refused or failed to acknowledge receipt of the Privacy Practices Notice.

Date: ______________

Name of Patient: ________________________________

Reason for refusal/failure: ______________________________________

______________________________________________________________

Signature of NAPS Employee: ________________________________

File Signed Copy of this Page with Patient's Record
NEVADA ADVANCED PAIN SPECIALISTS

NOTICE TO PATIENTS
CONFIDENTIALITY OF SUBSTANCE ABUSE PATIENT RECORDS
AND PSYCHOTHERAPY NOTES

1. Substance Abuse Patient Records in General.

The confidentiality of alcohol and drug abuse patient records maintained by Nevada Advanced Pain Specialists ("NAPS") is protected by Federal law and regulations. Generally, NAPS may not disclose to a person outside the program that a patient attends the program, or disclose any information identifying a patient as an alcohol or drug abuser unless:

1. the patient consents in writing;

2. the disclosure is allowed by a court order; or

3. the disclosure is made to medical personnel in a medical emergency or to a qualified personnel for research, audit, or program evaluation.

Violation of the Federal law and regulations by a program is a crime. Suspected violations may reported to appropriate authorities in accordance with Federal regulations.

Federal law and regulations do not protect any information about a crime committed by a patient either at the program or against any person who works for the program or about any threat to commit such a crime.

Federal laws and regulations do not protect any information about suspected child abuse or neglect from being reported under State law to appropriate State or local authorities.

2. Psychotherapy Notes.

The contents of psychotherapy notes are stringently protected by the HIPAA Privacy Standards. "Psychotherapy notes" means "notes recorded (in any medium) by a health care provider who is a mental health professional documenting or analyzing the contents of conversation during a private counseling session or a group, joint or family counseling session and that are separated from the rest of the individual's medical record."

Psychotherapy notes exclude medication prescription and monitoring, counseling session Start and stop times, the modalities and frequencies of treatment furnished, results of clinical tests, and any summary of the following items: diagnosis, functional status, the treatment plan, symptoms, prognosis, and progress to date.
Under the Privacy Standards, An authorization from you is required for any use or disclosure of psychotherapy notes, except to carry out certain, limited purposes of NAPS, which are:

1. Use by the originator of the notes for treatment purposes.

2. Use or disclosure by NAPS in its own mental health professional training programs.

3. Use or disclosure by NAPS in a legal proceeding with the patient.